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## NOTICE OF ALLOWANCE AND FEE(S) DUE

34704 7590 12/30/2008 BACHMAN & LAPOINTE, P.C. 900 CHAPEL STREET SUITE 1201 NEW HAVEN CT 06510

| EXAMINER                |              |  |  |  |  |
|-------------------------|--------------|--|--|--|--|
| PALABRICA, RICARDO J    |              |  |  |  |  |
| ART UNIT                | PAPER NUMBER |  |  |  |  |
| 3663                    |              |  |  |  |  |
| DATE MAILED: 12/30/2008 |              |  |  |  |  |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|-----------------|-------------|----------------------|---------------------|------------------|--|
| 10/677,941      | 10/01/2003  | Jae-Hyuk Eoh         | 03-621              | 9725             |  |

TITLE OF INVENTION: PASSIVE SAFETY-GRADE DECAY-HEAT REMOVAL METHOD AND DECAY-HEAT REMOVAL SYSTEM FOR LMR WITH POOL DIRECT HEAT COOLING PROCESS

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1510        | \$300               | \$0                  | \$1810           | 03/30/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

| INSTRUCTIONS: This<br>appropriate. All further a<br>indicated unless correcte<br>maintenance fee notificat   | form should be used to<br>correspondence including<br>d below or directed other<br>ions.  | or tran<br>ig the l<br>nerwise       | smitting the ISSU<br>atent, advance or<br>in Block 1, by (a  | JE FEE and PUBLICAT<br>rders and notification of<br>a) specifying a new corre  | TON FEE (if requiremaintenance fees verspondence address;  | ired). I<br>vill be<br>and/o                 | Blocks 1 through 5 s<br>mailed to the current<br>(b) indicating a sepa   | nould be<br>correspon<br>rate "FEE                              | completed where<br>dence address as<br>ADDRESS" for   |
|--|---|--------------------------------------|--|--|--|--|--|---|---|
|  | ENCE ADDRESS (Note: Use Bi  | ock I for:                           | any change of address)   | Fee<br>par   | e(s) Transmittal. The<br>sers, Each additiona  | is certil<br>I paper                         | g can only be used for<br>icate cannot be used for<br>such as an assignment<br>ding or transmission.                       | r domestion<br>or any oth<br>nt or form                         | c mailings of the<br>er accompanying<br>al drawing, must  |
| 900 CHAPEL ST<br>SUITE 1201  |   |                                      |  | I b  | Cer  | tificate                                     | of Mailing or Trans s) Transmittal is being ficient postage for fire ISSUE FEE address I) 273-2885, on the d               | denositea   | I with the United<br>all in an envelope<br>being facsimile<br>and below.                          |
| NEW HAVEN, O   | CT 06510  |                                      |  |  |  |  |  |   | (Depositor's name)  |
|  |   |                                      |  |  |  |  |  |   | (Signature)   |
|  |   |                                      |  |  |  |  |  |   | (Date)  |
| APPLICATION NO.  | FILING DATE   |                                      |  | FIRST NAMED INVENTOR   | ξ  | ATTO   | RNEY DOCKET NO.  | CONFIR  | RMATION NO.   |
| 10/677,941   | 10/01/2003  |                                      |  | Jae-Hyuk Eoh   |  |  | 03-621   |   | 9725  |
| TITLE OF INVENTION<br>WITH POOL DIRECT H   |   |                                      | DECAY-HEAT   | REMOVAL METHOD   | AND DECAY-HE   | AT RE  | MOVAL SYSTEM F   | OR LMR  |   |
| APPLN. TYPE  | SMALL ENTITY  | ISS                                  | SUE FEE DUE  | PUBLICATION FEE DUE  | PREV. PAID ISSU  | E FEE  | TOTAL FEE(S) DUE   | I   | DATE DUE  |
| nonprovisional   | NO  |                                      | \$1510   | \$300  | \$0  | \$1810 03/30/200                             |  |   | 3/30/2009   |
| EXAM   | INER  |                                      | ART UNIT   | CLASS-SUBCLASS   | 1  |  |  |   |   |
| PALABRICA,   | RICARDO J   |                                      | 3663   | 376-290000   | _  |  |  |   |   |
|  | ondence address (or Cha<br>1/122) attached.<br>cation (or "Fee Address'<br>2 or more recent) attach   | nge of (<br>" Indica<br>ed. Use      | Correspondence<br>tion form<br>of a Customer   | 2. For printing on the (1) the names of up to or agents OR, alternat (2) the name of a sing registered attorney or 2 registered patent att listed, no name will be THE PATENT (print or ty | o 3 registered pater<br>ively,<br>de firm (having as a<br>agent) and the nam<br>orneys or agents. If<br>e printed. | it attori                                    |  |   |   |
| PLEASE NOTE: Unle<br>recordation as set forth<br>(A) NAME OF ASSIC<br>Please check the appropri  | SNEE  |                                      |  | data will appear on the p<br>T a substitute for filing an<br>(B) RESIDENCE: (CIT<br>inted on the patent):  | Y and STATE OR C   | OUNT   |  |   |   |
| 4a. The following fee(s) a  ☐ Issue Fee ☐ Publication Fee (N ☐ Advance Order - #   | o small entity discount p   | oermitte                             |  | D. Payment of Fee(s): (Ple A check is enclosed. Payment by credit ca The Director is hereboverpayment, to Dep  | rd. Form PTO-2038<br>v authorized to cha   | is atta                                      | ched.<br>required fee(s), any de   | ficiency, o   |   |
|  | SMALL ENTITY state  | is. See 3                            | 37 CFR 1.27.   | b. Applicant is no los   |  |  |  |   |   |
| NOTE: The Issue Fee and<br>interest as shown by the r  | I Publication Fee (if requeords of the United Sta   | uired) v<br>tes Pate                 | rill not be accepted<br>int and Trademark  | d from anyone other than<br>Office.  | the applicant; a regi  | stered                                       | attorney or agent; or th   | e assigned  | or other party in   |
| Authorized Signature   |   |                                      |  |  | Date   |  |  |   |   |
| Typed or printed name  |   |                                      |  |  | Registration N   | lo   |  |   |   |
| This collection of informa<br>an application. Confident<br>submitting the completed<br>this form and/or suggestic<br>Box 1450, Alexandria, V<br>Alexandria, Virginia 223 | ation is required by 37 C<br>iality is governed by 35<br>application form to the<br>ons for reducing this bu-<br>irginia 22313-1450. DC<br>13-1450. | FR 1.3<br>U.S.C.<br>USPT<br>rden, sh | 11. The informatic<br>122 and 37 CFR<br>O. Time will vary<br>ould be sent to the<br>SEND FEES OR ( | on is required to obtain or<br>1.14. This collection is es<br>depending upon the indi<br>e Chief Information Offic<br>COMPLETED FORMS T  | retain a benefit by t<br>stimated to take 12 i<br>vidual case. Any co<br>er, U.S. Patent and<br>O THIS ADDRESS     | he pub<br>minute<br>mmen<br>Trader<br>S. SEN | ic which is to file (and<br>to complete, includir<br>s on the amount of ti-<br>nark Office, U.S. Dep<br>D TO: Commissioner | by the U:<br>g gathering<br>ne you recurtment of<br>for Patents | SPTO to process)<br>g, preparing, and<br>quire to complete<br>Commerce, P.O.<br>s, P.O. Box 1450, |

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P O Box 1450 Alexandria, Virgima 22313-1450 www.uspto.gov

| APPLICATION NO.                   |       | FILING DATE | FIRST NAMED INVENTOR            | ATTORNEY DOCKET NO.  | CONFIRMATION NO. |  |  |
|-----------------------------------|-------|-------------|---------------------------------|----------------------|------------------|--|--|
| 10/677,941                        |       | 10/01/2003  | Jae-Hyuk Eoh                    | 03-621               | 9725             |  |  |
| 34704                             | 7590  | 12/30/2008  |                                 | EXAM                 | UNER             |  |  |
| BACHMAN & LAPOINTE, P.C.          |       |             |                                 | PALABRICA, RICARDO J |                  |  |  |
| 900 CHAPEL S                      | TREET |             |                                 | ART UNIT             | PAPER NUMBER     |  |  |
| SUITE 1201<br>NEW HAVEN, CT 06510 |       |             | 3663<br>DATE MAILED: 12/30/2008 |                      |                  |  |  |

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### Application No. Applicant(s) 10/677.941 EOH ET AL. Notice of Allowability Examiner Art Unit Rick Palahrica 3663 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to 10/8/08 Amendment. The allowed claim(s) is/are 10-15. 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). b) ☐ Some\* c) ☐ None of the: 1. A Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). \* Certified copies not received: \_\_\_\_\_. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. | Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application 2. Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date Information Disclosure Statements (PTO/SB/08). 7. Examiner's Amendment/Comment Paper No./Mail Date 4. T Examiner's Comment Regarding Requirement for Deposit 8. T Examiner's Statement of Reasons for Allowance of Biological Material 9. ☐ Other /Rick Palabrica/ 12/22/08

Primary Examiner, Art Unit 3663